

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Signature S. Burchfull

**Applicant** 

: Kevin J. Mintie, et al.

Application No.

: 10/627,999

Filed

: July 25, 2003

Title

: ENVIRONMENTAL CONTROL UNIT

Grp./Div.

: 3637

Examiner

: To be assigned

Docket No.

: 50818/RJW/M425

## INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER § 1.97(e)(1)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Post Office Box 7068 Pasadena, CA 91109-7068 February 4, 2004

## Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO\SB\08A\B with a listing of references that are known to applicant. Copies of the International Search Report and references are enclosed.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicant also requests that an initialed copy of said FORM PTO\SB\08A\B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Applicant's undersigned attorney hereby certifies, in accordance 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited in

## **Application No. 10/627,999**

any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

Richard J. Ward, Jr.

Reg. No. 24,187 626/795-9900

RJW/mas

Enclosures: PTO\SB\08A\B w/references

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FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	50818/RJW/M425
INFORMATION DISCLOSURE	Application Number	10/627,999
OIPE	Filing Date	July 25, 2003
STATEMENT BY APPLICANT	Applicant(s)	Kevin J. Mintie, et al.
(use as many sheets as necessary)	Group Art Unit	3637
(use as many sheets as necessary)	Examiner Name	To be assigned

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No.1	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		4,706,551	11-17-1987	Schofield
		4,866,833	09-19-1989	Ward

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No.1	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> ( • )
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OTHER DOCUMENTS				
EXAMINER Cite INITIALS No.1		Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
		International Search Report for Application No. PCT/US03/23531 filed 07-25-2003		
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EXAMINER SIGNATURE		DATE CONSIDERED	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

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